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MM
GE Power

U.S. APPLICATION NUMBER NO. 10/532,393	FIRST NAMED APPLICANT Markus BECKER	ATTY. DOCKET NO. 6097P063
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26529
BLAKELY SOKOLOFF TAYLOR & ZAFMAN/PDC
12400 WILSHIRE BOULEVARD
SEVENTH FLOOR
LOS ANGELES, CA 90025

RECEIVED

JUN 05 2006

INTERNATIONAL APPLICATION NO. PCT/EP03/10470	
I.A. FILING DATE 09/19/2003	PRIORITY DATE

CONFIRMATION NO. 1587
371 FORMALITIES LETTER



OC000000019008938

Date Mailed: 06/01/2006

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
LOS ANGELES

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/21/2005
- Copy of the International Search Report filed on 04/21/2005
- Preliminary Amendments filed on 04/21/2005
- U.S. Basic National Fees filed on 04/21/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ENTERED

JUN - 5 2006

RECEIVED DB-LA

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/532,393	PCT/EP03/10470	6097P063

FORM PCT/DO/EO/905 (371 Formalities Notice)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Markus Becker et al

Serial No.: 10/532,393

Filed: 04/21/2005

For: BEARING HOUSING

Examiner: Unassigned

Art Unit: Unassigned

Confirmation No.: 1587

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

DECLARATION

Dear Sir:

I, Michael J. Mallie, having personal knowledge of the facts set forth herein, hereby declare and say as follows:

1. On June 9, 2006, I sent the declaration to GE Counsel to be signed by the inventors. Documentation of this email is attached (Exhibit 1).
2. On July 13, 2006, in response to the email sent on June 9, 2006, GE counsel sent an email, copying me on the email, with the declaration attached to be signed by the inventors, including Vincent Schellings, Peter Gauchel, Nicolas Delucis, requesting that a copy of the executed declaration be emailed or faxed to GE counsel, and that the original documents be sent to GE Counsel. No response to this email has been received from Nicolas Delucis. Documentation of this email is attached (Exhibit 2).
3. On July 25, 2006, in response to the email sent on June 9, 2006, GE counsel sent another email, copying me on the email, with the declaration attached to be signed by the inventors, including Nicolas Delucis, Markus Becker, Peter Gauchel, requesting that the inventors read and sign the declaration, and indicating that GE counsel had received the signed declaration from Vincent Schellings. No response to this email has been received from Nicolas Delucis. Documentation of this email is attached. (Exhibit 2).

4. On July 31, 2006, in response to the email sent on July 25, 2006, I received an email from Markus Becker with an attachment of the signed declaration by Markus Becker and Peter Gauchel. Documentation of this email is attached (Exhibit 2). However, there was no response to this email from Nicolas Delucis.
5. On August 1, 2006, in response to the email received on July 31, GE counsel sent another email to Nicolas Delucis, copying me on the email, with the declaration attached to be signed by Nicolas Delucis, requesting that the inventor sign the declaration and send a copy to GE counsel. On August 7, 2006, in response to the email sent on August 1, 2006, GE counsel sent me an email indicating that they had not received the executed declaration from the inventor Nicolas Delucis. Documentation of this email is attached (Exhibit 3).
6. On October 2, 2006, I sent an email to GE counsel, requesting the status on whether they had received the executed declaration from the inventor Nicolas Delucis. On October 2, 2006, in response to the email sent on October 2, 2006, I received an email from GE counsel indicating that GE counsel had sent a reminder on August 8, 2006, and had not received a response from him. The email also indicated that he has left GE, and his email was not longer listed on the global email list of GE, and that GE did not have another email address for him. Documentation of these emails are attached (Exhibit 4).
7. On October 30, 2006, I received an email from GE counsel, indicating that Human Resources of GE provided the current address of the inventor Nicolas Delucis, as follows, and requesting that we send a letter to his address requesting to sign the declaration:

Nicolas Delucis
Augustastr. 59-61
D-48153 Münster

GE Counsel also indicated in the email that it appears there is no other way to reach the inventor Nicolas Delucis. Documentation of this email is attached (Exhibit 5).

8. On October 30, 2006, in response to the email received from GE counsel on October 30, 2006, I sent a letter to Nicolas Delucis at the following address,

enclosing the declaration for his signature. Also enclosed with the letter, was a Federal Express envelope for him to return the signed documents to me.

Nicolas Delucis
Augustastr. 59-61
D-48153 Münster
DE

No response to this delivery has been received. Documentation of this delivery is attached (Exhibit 6).

9. On November 8, 2006, I received a phone call from Federal Express, indicating that Nicolas Delucis have moved from that address and there is no forwarding information. An email documenting the call from Federal Express was sent to the Patricia Yopez-Rutheny of GE on November 8, 2006. Documentation of this email is attached (Exhibit 7).
10. On February 2, 2007, in response to learning that Patricia Yopez-Rutheny had been out on maternity leave and unable to help in obtaining the signed documents, I sent an email to Jim McGinness at GE, to obtain the status of the signed declaration from Nicolas Delucis and to verify his address. Documentation of this email is attached (Exhibit 8).
11. On February 7, 2007, in response to the email I sent on February 2, 2007, I received an email from Sandra GroB-Thedieck of Human Resources, indicating that address on file for Nicolas Delucis is as follows, and indicated that the address is the only address they have on file and that the inventors may have relocated in the meantime:

Nicolas Delucis
Augustastr. 59-61
D-48153 Münster
DE

Documentation of this email is attached (Exhibit 8).

12. On February 7, 2007, in response to the email received on February 7, 2007, I sent an email to GE counsel, indicating that I had already sent the declaration to Nicolas in November 2006 at the provided address and that Federal Express had informed us that he was no longer residing at this address and that there was no


forwarding information. I also indicated in the email that we had sent an email to Patricia Yopez-Rutheny describing the above actions and that we had not received any response from Patricia. Documentation of this email is attached (Exhibit 8).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on the information and belief are believed to be true; and further that all these statements were made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Dated: 6/1/07



Michael J. Mallie
Reg. No. 36,591

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1030
(408) 720-8300

Joyce Klein

From: Joyce Klein
Sent: Friday, June 09, 2006 12:06 PM
To: 'Yepez-Rutheny, Patricia (GE, Corporate)'
Subject: GE P063 (140864)



Hi Patty,

Attached is the declaration and assignment to be signed by the inventors. The missing parts are due 8/1/2006.

I am sending the documents in Word version just in case you need to make changes to it.

Thank you,

Joyce Klein
Assistant to Michael Mallie

6/9/2006

EXHIBIT 2

Joyce Klein

From: Yepez-Rutheny, Patricia (GE, Corporate) [Patricia.Yepez-Rutheny@corporate.ge.com]
Sent: Tuesday, August 01, 2006 7:38 AM
To: Joyce Klein
Cc: Delucis, Nicolas (GE Infra, Energy); Meyer, Dietmar (GE Infra, Energy)
Subject: FW: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Joyce:

Attached are the Declaration and Assignment signed by the inventors Peter Gauchel and Markus Becker.

Nicolas: We have not received the executed Assignment and Declaration documents from you. Please sign these documents and send a copy of the executed papers to my e-mail address Patricia.Yepez-Rutheny@ge.com as soon as possible. If you have any questions please let me know. Thanks.

Regards,

Patricia Yepez-Rutheny
GE Global Patent Operation
Paralegal

T 203-761-1986
F 203-761-1924
GPO.MAIL@ge.com

187 Danbury Road, Suite 204
Wilton, Connecticut 06897
USA

-----Original Message-----

From: Markus Becker [<mailto:markus.becker@rsbconsult.com>]
Sent: Monday, July 31, 2006 11:20 AM
To: Yepez-Rutheny, Patricia (GE, Corporate); Delucis, Nicolas (GE Infra, Energy); peter.gauchel@rsbconsult.com
Subject: AW: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Dear Patricia,

please find attached Peter's and mine signed exemplars. Please come back to me if there are any questions.

Best Regards
Markus Becker

RSBconsult GmbH
Engelstr. 68
48143 Münster
Tel.: +49 (0) 251 210 99 13
Fax.: +49 (0) 251 210 99 23
Mobile: +49 (0) 173 9932975
markus.becker@rsbconsult.com
www.rsbconsult.com

8/1/2006

-----Ursprüngliche Nachricht-----

Von: Yepez-Rutheny, Patricia (GE, Corporate) [mailto:Patricia.Yepez-Rutheny@corporate.ge.com]

Gesendet: Dienstag, 25. Juli 2006 20:22

An: Delucis, Nicolas (GE Infra, Energy); markus.becker@rsbconsult.com; peter.gauchel@rsbconsult.com

Betreff: RE: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Wichtigkeit: Hoch

Dear Nicolas, Markus, Peter:

Please read the attached documents and sign the Assignment and Declaration as soon as possible. The declaration needs to be executed by two witnesses in addition to your signatures.

I would appreciate your help signing these documents soon, the missing parts is due on **August 1, 2006**. If you have any questions please let me know. I have already received the documents signed by Vincent Schellings.

Regards and thanks,

Patricia

Patricia Yepez-Rutheny
GE Global Patent Operation
Paralegal

T 203-761-1986
F 203-761-1924
GPO.MAIL@ge.com

187 Danbury Road, Suite 204
Wilton, Connecticut 06897
USA

-----Original Message-----

From: Yepez-Rutheny, Patricia (GE, Corporate)

Sent: Thursday, July 13, 2006 3:36 PM

To: Schellings, Vincent (GE Infra, Energy); Gauchel, Peter (GE Infra, Energy, Non-GE); Delucis, Nicolas (GE Infra, Energy)

Subject: FW: GE P063 (140864) US documents

Importance: High

Dear inventors:

Please read the attached files, sign the Assignment and Declaration, and send me a copy of the executed documents to my e-mail address or fax number (203)761-1924; and please mail the original documents to my address indicated below by **July 24, 2006**.

Please note the assignment also needs to be executed by two witnesses.

I don't have the e-mail address of Markus Becker, would you please forward this e-mail to him?

Regards,

8/1/2006

Patricia Yepez-Rutheny
GE Global Patent Operation
Paralegal

T 203-761-1986
F 203-761-1924
GPO.MAIL@ge.com

187 Danbury Road, Suite 204
Wilton, Connecticut 06897
USA

EXHIBIT 3

Joyce Klein

From: Yepez-Rutheny, Patricia (GE, Corporate) [Patricia.Yepez-Rutheny@corporate.ge.com]
Sent: Monday, August 07, 2006 2:35 PM
To: Joyce Klein
Subject: RE: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Joyce, I did not receive the executed documents from the inventor Nicolas Delucis. Can you request more extensions? When is the final deadline?

Thanks,

Patricia

-----Original Message-----

From: Yepez-Rutheny, Patricia (GE, Corporate)
Sent: Tuesday, August 01, 2006 10:38 AM
To: 'Joyce_Klein@bstz.com'
Cc: Delucis, Nicolas (GE Infra, Energy); Meyer, Dietmar (GE Infra, Energy)
Subject: FW: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Joyce:

Attached are the Declaration and Assignment signed by the inventors Peter Gauchel and Markus Becker.

Nicolas: We have not received the executed Assignment and Declaration documents from you. Please sign these documents and send a copy of the executed papers to my e-mail address Patricia.Yepez-Rutheny@ge.com as soon as possible. If you have any questions please let me know. Thanks.

Regards,

Patricia Yepez-Rutheny
GE Global Patent Operation
Paralegal

T 203-761-1986
F 203-761-1924
GPO.MAIL@ge.com

187 Danbury Road, Suite 204
Wilton, Connecticut 06897
USA

-----Original Message-----

From: Markus Becker [<mailto:markus.becker@rsbconsult.com>]
Sent: Monday, July 31, 2006 11:20 AM
To: Yepez-Rutheny, Patricia (GE, Corporate); Delucis, Nicolas (GE Infra, Energy); peter.gauchel@rsbconsult.com
Subject: AW: GE P063 (140864) US documents due 1 August 2006 (URGENT)

Dear Patricia,

8/7/2006

EXHIBIT 4

Joyce Klein

From: Joyce Klein
Sent: Monday, October 02, 2006 9:57 AM
To: 'Yepez-Rutheny, Patricia (GE, Corporate)'
Subject: GE P063 (140864)

Hi Patty,

Just checking in with you regarding the docs from Nicholas Delucis. The two month extension deadline is today.

Thank you,

Joyce Klein
Assistant to Michael Mallie

10/2/2006

Joyce Klein

From: Yepez-Rutheny, Patricia (GE, Corporate) [Patricia.Yepez-Rutheny@corporate.ge.com]
Sent: Monday, October 02, 2006 11:19 AM
To: Joyce Klein
Cc: Hoffmann, Philip J (GE, Corporate)
Subject: RE: GE P063 (140864)

Joyce:

I sent him a reminder on August 8 and haven't received a response from him. Apparently he has left GE, his e-mail address is not listed in our global list anymore and I don't have another e-mail address for him. I am copying the GPO attorney for his information.

Phil: This is a 46WD case, the US national phase application was filed by Blakely & Sokoloff. The Assignment and Declaration have been signed by all the inventors except for Nicolas Delucis.

Regards,

Patricia Yepez-Rutheny
GE Global Patent Operation
Paralegal

From: Joyce Klein [mailto:Joyce_Klein@bstz.com]
Sent: Monday, October 02, 2006 12:57 PM
To: Yepez-Rutheny, Patricia (GE, Corporate)
Subject: GE P063 (140864)

Hi Patty,

Just checking in with you regarding the docs from Nicholas Delucis. The two month extension deadline is today.

Thank you,

Joyce Klein
Assistant to Michael Mallie

10/2/2006

EXHIBIT 5

Joyce Klein

From: Yepez-Rutheny, Patricia (GE, Corporate) [Patricia.Yepez-Rutheny@corporate.ge.com]
Sent: Monday, October 30, 2006 12:13 PM
To: Joyce Klein
Subject: GE P063 (140864) - Missing Parts

Hello Joyce,

HR provided the current address of the inventor Nicolas Delucis:

Nicolas Delucis
Augustastr. 59-61
D-48153 Münster

Please send a letter to his address requesting to sign the documents as it appears there is no other way to reach him. Thanks.

Regards,

Patricia Yepez-Rutheny
GE Global Patent Operation
Paralegal

T 203-761-1986
F 203-761-1924
GPO.MAIL@ge.com

187 Danbury Road, Suite 204
Wilton, Connecticut 06897
USA

10/30/2006

BLAKELY SOKOLOFF TAYLOR & ZAFMAN

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TELEPHONE (408) 720-8300

FACSIMILE (408) 720-8383

BSTZ_MAIL@BSTZ.COM

WWW.BSTZ.COM



INTELLECTUAL PROPERTY LAW

SILICON VALLEY

1279 OAKMEAD PARKWAY
SUNNYVALE, CALIFORNIA 94085-4040

OTHER OFFICES

LOS ANGELES, CA
ORANGE COUNTY/COSTA MESA, CA
DENVER, CO
PORTLAND/BEAVERTON, OR
SEATTLE, WA

October 30, 2006

Nicolas Delucis
Augustastr. 59-61
D-48153 Münster

Re: General Electric Power Systems
U.S. Patent Application No. 10/532,393
Title: Bearing House
Filed: April 21, 2005
Our Reference Number: 006097.P063
GE Reference Number: 140864

Dear Mr. Delucis:

Enclosed please find the Declaration/Power of Attorney and Assignment forms for your signature. Please return them to me via Federal Express (envelope enclosed) in order to file the forms with the U.S. Patent and Trademark Office (PTO).

If you have any questions or comments, please do not hesitate to contact me via email at michael_mallie@bstz.com.

Respectfully,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Michael J. Mallie

MJM/kep
Enclosures



Invoice Number	Invoice Date	Account Number	Page
8-010-34761	Nov 17, 2006	1109-3568-4	10 of 11

Tracking ID 792883696180 continued

Declared Value	USD 1.00		
Orig/Dest	COA/QHY		
Delivered	Nov 16, 2006 12:40		
Signed By	HOSOKAWA		
FedEx Use	X2715/US0512/_	Transportation Charge	13.00
Customs		Fuel Surcharge	1.63
Entry Date	Nov 15, 2006	Total Transportation Charges	

Dropped off Nov 14, 2006

Cust. Ref: 08453.P098

Ref. #2

Payor Shipper

Ref. #3

- Fuel Surcharge - FedEx has applied a fuel surcharge of 12.50% to this shipment.
- FedEx has audited this airbill for correct pieces, weight, and service. Any changes made are reflected in the invoice amount.
- Discount applied is the greater of volume discount or dropoff discount. No additional discount applies.

Tracking ID	799537571892	Sender	ANGELA QUINN	Recipient	TADAHIKO ITOH
Service Type	FedEx Int Priority		BLAKELY, SOKOLOFF, TAYLOR & ZA		ITOH INTERNATIONAL PATENT OFFICE
Package Type	FedEx Envelope		1279 OAKMEAD PARKWAY		32ND FL, YEBISU GARDEN PLACE TOWER
Zone	G		SUNNYVALE CA 94085 US		20-3 EBISU 4-CHOME, SHIBUYA-KU
Packages	1				TOKYO 15060-32 JP
Rated Weight	2.1 lbs				
Declared Value	USD 1.00				
Orig/Dest	COA/QHY				
Delivered	Nov 16, 2006 12:40				
Signed By	HOSOKAWA	Transportation Charge			
FedEx Use	X2715/US0010/_	Fuel Surcharge			
Customs		Discount			
Entry Date	Nov 15, 2006	Total Transportation Charges			

Picked up: Nov 14, 2006

Cust. Ref: 81862.P284CN

Ref. #2

Payor Shipper

Ref. #3

- Fuel Surcharge - FedEx has applied a fuel surcharge of 12.50% to this shipment.

Tracking ID	838045448349	Sender	SIE JP;PWAW	Recipient	MR. ALEX WANG
Service Type	FedEx Int Priority		BLAKELY SOKOLOFF TAYLOR ZAFMAN		EAST IP
Package Type	FedEx Envelope		1279 OAKMEAD PKWY		SUITE 1602, TOWER E2 THE TOWERS
Zone	N		SUNNYVALE CA 94085-4040 US		ORIENTAL PLAZA, NO. 1, EAST CHANG
Packages	1				DONGCHENG DISTRICT, BEIJING 100 738 CN
Rated Weight	0.5 lbs				
Orig/Dest	COA/BPX				
Delivered	Nov 17, 2006 10:40				
Signed By	H.TIAN	Transportation Charge			
FedEx Use	G211A/US0512/_	Fuel Surcharge			
Customs		Total Transportation Charges			
Entry Date	Nov 15, 2006			USD	\$21.39

Shipper Subtotal USD \$487.28

Dropped off Nov 14, 2006

Cust. Ref: EX AWB 790104912758

Ref. #2

Payor Recipient

Ref. #3

- Fuel Surcharge - FedEx has applied a fuel surcharge of 12.00% to this shipment.
- Weather delay - Thunder storm.
- Discount applied is the greater of volume discount or dropoff discount. No additional discount applies.

Tracking ID	662722579081	Sender	FEDERAL EXPRESS	Recipient	ANGELA QUINN
Service Type	FedEx Int Priority		HUBERT-WOLLENBERG-STRASSE 11		BLAKELY SOKOLOFF TAYLOR ZAFMAN
Package Type	FedEx Envelope		RATINGEN 40878 DE		1279 OAKMEAD PKWY
Zone	A				SUNNYVALE CA 94086 US
Packages	1				

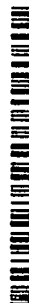


Invoice Number	Invoice Date	Account Number	Page
8-010-34761	Nov 17, 2006	1109-3568-4	11 of 11

Tracking ID 862722579081 continued

Rated Weight	1.1 lbs		
Orig./Dest.	DUS/COA		
Delivered	Nov 15, 2006 10:47	Nov 14, 2006 - Conversion Rate EUR-USD 1.3071013820	
Signed By	M.BISTAN	Transportation Charge	EUR 45.50 59.47
FedEx Use	P3494/DE0010/_	Fuel Surcharge	EUR 3.33 4.35
Customs		Discount	EUR 17.75 -23.20
Entry Date	Nov 14, 2006	Total Transportation Charges	USD \$40.62

Recipient Subtotal	USD	\$40.62
Total FedEx Express	USD	\$527.90



**Invoice Number**

8-010-34761

Invoice Date

Nov 17, 2006

Account Number

1109-3568-4

Page

4 of 11

FedEx Express Shipment Detail By Payor Type (Original)

Dropped off: Oct 30, 2006

Cust. Ref.: 008097, P083

Ref. #2:

Payor: Shipper

Ref. #3:

- Fuel Surcharge - FedEx has applied a fuel surcharge of 16.50% to this shipment.
- Incorrect recipient address.

Tracking ID 790104912758
Service Type FedEx Intd Priority
Package Type FedEx Envelope
Zone E
Packages 1
Rated Weight 0.5 lbs
Declared Value USD 1.00
Orig./Dest. COA/ZOK
FedEx Use X4114/US0512/_
Customs
Entry Date Oct 31, 2006

Sender
ANGELA QUINN
BLAKELY, SOKOLOFF, TAYLOR & ZA
1279 OAKMEAD PARKWAY
SUNNYVALE CA 94085 US

Recipient
NICOLAS DELUCIS
AUGUSTASTR59-61
MUNSTER 48153 DE

Transportation Charge 13.00
Fuel Surcharge 2.15
Total Transportation Charges USD \$15.15

Picked up: Nov 07, 2006

Cust. Ref.: 04239P74429KR P24458

Ref. #2:

Payor: Shipper

Ref. #3:

- Fuel Surcharge - FedEx has applied a fuel surcharge of 12.50% to this shipment.

Tracking ID 855975424801
Service Type FedEx Intd Priority
Package Type FedEx Envelope
Zone J
Packages 1
Rated Weight 0.5 lbs
Orig./Dest. COA/SEL
Delivered Nov 10, 2006 20:30
Signed By .CHA MI KYUNG
FedEx Use G6434/US0512/_
Customs
Entry Date Nov 08, 2006

Sender
DEBRA MILBOURNE
BLAKELY SOKOLOFF TAYLOR ZAFMAN
1279 OAKMEAD PKWY
SUNNYVALE CA 94085-4040 US

Recipient
LEE INTERNATIONAL PATENT AND LAW
KUKDONG BUILDING CHUNGMURO
KWANG HWA MOON
SEOUL 110 KR

Transportation Charge 14.50
Fuel Surcharge 1.81
Total Transportation Charges

Dropped off: Nov 08, 2006

Cust. Ref.: 142P1515XMY842P20845MY

Ref. #2:

Payor: Shipper

Ref. #3:

- Fuel Surcharge - FedEx has applied a fuel surcharge of 12.50% to this shipment.
- FedEx has audited this airbill for correct pieces, weight, and service. Any changes made are reflected in the invoice amount.

Tracking ID 790112356588
Service Type FedEx Intd Priority
Package Type FedEx Pak
Zone F
Packages 1
Rated Weight 0.9 lbs
Orig./Dest. COA/SIN
Delivered Nov 13, 2006 11:42
Signed By .LIZA
FedEx Use X2816/US0512/_
Customs
Entry Date Nov 09, 2006

Sender
JESSICA SAVAGE
BSTZ
1279 OAKMEAD PARKWAY
SUNNYVALE CA 94085 US

Recipient
MR. MORRIS JOHN
DREW & NAPIER LLC
20 RAFFLES PLACE #17-00
OCEAN TOWERS
SINGAPORE 048 620 SG

Transportation Charge 14.00
Fuel Surcharge 1.75
Total Transportation Charges

Kelly Patterson

From: Tiffany Hampton
Sent: Wednesday, February 14, 2007 2:15 PM
To: Kelly Patterson
Subject: RE: FedEx Tracking Number

Okay, so I know you said October, but just in case here's what I got for P063.

10/30/06 - \$15.15 to Nicolas Delucis - tracking # 790104912758

11/14/06 - \$40.62 from Hubert-Wollenberg-Strasse 11? (seems off, no name) to Angela Quinn
- tracking # 662722579061

-----Original Message-----

From: Kelly Patterson
Sent: Wednesday, February 14, 2007 2:03 PM
To: Tiffany Hampton
Subject: RE: FedEx Tracking Number

Thank you so much!!! You don't know how much I appreciate this. The matter number if P063.

Thanks again!!

-----Original Message-----

From: Tiffany Hampton
Sent: Wednesday, February 14, 2007 2:01 PM
To: Kelly Patterson
Subject: RE: FedEx Tracking Number

I can definitely help. I'll grab the FedEx receipts (that I can find) from October. In the meantime, go ahead and send me the matter number.

-----Original Message-----

From: Kelly Patterson
Sent: Wednesday, February 14, 2007 1:55 PM
To: Tiffany Hampton
Subject: FedEx Tracking Number

Hello Tiffany,

I am hoping you can help me. Are you in charge of charges associated with GE? I sent a FedEx package out for a GE matter in October but I need to have the tracking number. I always send a copy of the FedEx slip down to billing once I send out the package and I need a copy of the slip I sent.

Do you think you can help me? I would appreciate any assistance you can provide in this matter.

~Thanks,

Kelly Patterson
Assistant to Michael J. Mallie
Blakely, Sokoloff, Taylor & Zafman LLP
kelly_patterson@bstz.com
408-720-8300

EXHIBIT 7

Joyce Klein

From: Joyce Klein
Sent: Wednesday, November 08, 2006 11:18 AM
To: 'Yepez-Rutheny, Patricia (GE, Corporate)'
Subject: RE: GE P063 (140864) - Missing Parts

Patty,

Fed Ex just called and said that Nicolas has moved from that address and there is no forwarding information.

Joyce

From: Yepez-Rutheny, Patricia (GE, Corporate) [mailto:Patricia.Yepez-Rutheny@corporate.ge.com]
Sent: Monday, October 30, 2006 12:13 PM
To: Joyce Klein
Subject: GE P063 (140864) - Missing Parts

Hello Joyce,

HR provided the current address of the inventor Nicolas Delucis:

Nicolas Delucis
Augustastr. 59-61
D-48153 Münster

Please send a letter to his address requesting to sign the documents as it appears there is no other way to reach him. Thanks.

Regards,

Patricia Yepez-Rutheny
GE Global Patent Operation
Paralegal

T 203-761-1986
F 203-761-1924
GPO.MAIL@ge.com

187 Danbury Road, Suite 204
Wilton, Connecticut 06897
USA

11/8/2006

EXHIBIT 8

Joyce Klein

From: McGinness, Jim E (GE Infra, Energy) [jim.mcginness@ge.com]
Sent: Wednesday, February 07, 2007 5:53 AM
To: Joyce Klein; Rieken, Stefan (GE Infra, Energy)
Cc: Michael Mallie
Subject: RE: 133330 Switching off wind turbine during helicopter approach

Joyce,
Please correspond with the inventors with the addresses provided by Sandra Gross-Thedieck to get the signatures. If you have difficulty let us know and possibly Stefan may help if the inventors are not cooperating.
Jim

-----Original Message-----

From: Gross-Thedieck, Sandra (GE Infra, Energy, Non-GE)
Sent: Wednesday, February 07, 2007 8:20 AM
To: McGinness, Jim E (GE Infra, Energy); 'Joyce Klein'; Altenschulte, Markus (GE Infra, Energy); Rieken, Stefan (GE Infra, Energy); Hoffmann, Philip J (GE, Corporate)
Cc: 'Michael Mallie'
Subject: RE: 133330 Switching off wind turbine during helicopter approach

done

From: McGinness, Jim E (GE Infra, Energy)
Sent: Montag, 5. Februar 2007 16:25
To: 'Joyce Klein'; Altenschulte, Markus (GE Infra, Energy); Gross-Thedieck, Sandra (GE Infra, Energy, Non-GE); Rieken, Stefan (GE Infra, Energy); Hoffmann, Philip J (GE, Corporate)
Cc: Michael Mallie
Subject: 133330 Switching off wind turbine during helicopter approach

Philip,
Is someone filling the role for Patricia Yopez-Rutheny, to support obtaining signatures on missing parts?

Joyce,
Markus Altenschulte is copied on this email, please send the documents directly to Markus for execution and return to you. Sandra should provide addresses for Andre Riesberg and Nicolas Delucis. Similarly, send docs to their provided addreses with instructions to sign and return to you.

Markus,
please execute the document Joyce will send, it is necessary to continue prosecuting of your subject patent application in the US.

Sandra,
Andre Riesberg and Nicolas Delucis apparently no longer with GE, please provide their addresses for Joyce Klein to mail them documents necessary for execution to maintain to prosecution of US patent applications.

Jim McGinness

-----Original Message-----

From: Joyce Klein [mailto:Joyce_Klein@bstz.com]
Sent: Friday, February 02, 2007 6:06 PM

To: McGinness, Jim E (GE Infra, Energy)
Cc: Michael Mallie
Subject: GE Missing Parts

Reference:

133330 (6097.P074)
140864 (6097.P063)
134645 (6097.P066)

Jim,

In the past, Patricia Yopez-Rutheny had been our contact in getting the documents out to the inventors for signature. Below are outstanding missing parts that we have not been able to complete and file to the Patent Office. We were just informed that Patricia has been out on maternity leave and unable to help us in achieving the signed documents. I have attached the documents for each of these cases for execution. Please let us know how you wish us to proceed.

133330 (6097.P074) missing parts due **2/6/2007**

Documents need to be sent out to inventors Andre Riesberg and Markus Altenschulte, both in Germany

140864 (6097.P063) missing parts due **8/1/2006** (missing signature from Nicolas Delucis)

Several attempts have been made in locating Nicolas.

Original docs emailed 6/9/06

134645 (6097.P066) missing parts due **2/27/2006** (missing signature from Luciano Bonciani)

Corrected docs emailed 1/17/06

Thank you,

Joyce Klein
Assistant to Michael Mallie

Thank you,

Joyce Klein
Assistant to Michael Mallie